

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6012835	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 03/22/2019
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NAME OF PROVIDER OR SUPPLIER ROSEWOOD CARE CENTER OF JOLIET	STREET ADDRESS, CITY, STATE, ZIP CODE 3401 HENNEPIN DRIVE JOLIET, IL 60435
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S 000	Initial Comments Statement of Licensure Violations Investigation of complaint Number 1971965/IL110505	S 000		
S9999	Final Observations Statement of Licensure Violations Investigation of complaint Number 1971965/IL110505 300.610a) 300.1210b) 300.3240a) Section 300.610 Resident Care Policies a) The facility shall have written policies and procedures governing all services provided by the facility. The written policies and procedures shall be formulated by a Resident Care Policy Committee consisting of at least the administrator, the advisory physician or the medical advisory committee, and representatives of nursing and other services in the facility. The policies shall comply with the Act and this Part. The written policies shall be followed in operating the facility and shall be reviewed at least annually by this committee, documented by written, signed and dated minutes of the meeting.	S9999		

Attachment A
Statement of Licensure Violations

Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE Electronically Signed	TITLE	(X6) DATE 04/11/19
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S9999	<p>Continued From page 1</p> <p>Section 300.1210 General Requirements for Nursing and Personal Care</p> <p>b) The facility shall provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychological well-being of the resident, in accordance with each resident's comprehensive resident care plan. Adequate and properly supervised nursing care and personal care shall be provided to each resident to meet the total nursing and personal care needs of the resident. Restorative measures shall include, at a minimum, the following procedures:</p> <p>Section 300.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident.</p> <p>These Regulations were not met as evidenced by:</p> <p>Based on observation, record review, and interview the facility failed to follow the facility's policy to use two staff members while transferring a resident using a mechanical lift. This resulted in</p>	S9999		

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S9999	<p>Continued From page 2</p> <p>R1 sustaining an acute fracture of distal humerus.</p> <p>This applies to 1 of 3 residents (R1) reviewed for accidents in the sample of 3.</p> <p>Findings include:</p> <p>The Face Sheet documents R1 is 78 years old and has diagnoses including muscle wasting and atrophy, flaccid hemiplegia affecting right dominant side, senile dementia, breast cancer and cerebral vascular disease.</p> <p>The Minimum Data Set (MDS) dated 3/10/19 shows R1 has a BIMS (Brief Interview for Mental Status) score of 7 out of 15 indicating cognitive impairment; is totally dependent on staff for transfers (full staff performance every time) requiring two person physical assistance; has impairment in upper and lower extremity on one side; and is only able to stabilize with staff assistance during surface-to-surface transfer.</p> <p>The Care Area Assessment (CAA) dated 9/12/18 triggered risk factors for ADL (Activities of Daily Living) Functional Status as CVA (Cerebral Vascular Disease), hemiplegia, limited range of motion, impaired coordination, impaired balance, muscular atrophy and increased weakness/decreased strength.</p> <p>Care plans read: 8/29/18: Fracture of right humerus; transfers will be completed by the staff with mechanical lift as required, Goal date 3/17/19: Intervention- direct staff in-servicing re: proper positioning of mechanical lift pads and wheelchair positioning. Require assistance to transfer with mechanical lift secondary to altered mental status, CVA, right sided hemiparesis; Goal date- 3/17/19; Intervention- Transfer guest per</p>	S9999		

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S9999	<p>Continued From page 3</p> <p>resident care card (located in resident's closet).</p> <p>9/18/18: R1 is totally dependent on staff; Goal date- 3/17/19; Intervention- Provide proper assistance for transfers/ protect extremities to decrease risk of injury.</p> <p>12/21/18 Bruise/swelling noted to hand and wrist; Intervention- CNA in-serviced via phone re: body proximity during transport.</p> <p>The facility Incident/Accident Report dated 3/19/19 documents V5 (Certified Nursing Assistant/CNA) stated R1 complained of pain to the right arm when attempting to place it on a pillow.</p> <p>Nursing Notes dated 3/19/19 at 9:30 AM reads: Guest complained of right arm pain when staff attempted to place arm on pillow while in bed. Right sided hemiplegia per history.</p> <p>The Transfer Form documents: R1 transferred to the hospital on 3/19/19 for pain/right arm fracture.</p> <p>The Radiology Report dated 3/19/19 reads: Right Humerus 2+ Views Indication- pain Impression- Acute fracture of the distal humerus.</p> <p>The Incident/Accident Witness Statement by V5 reads: Describe what you heard:- I moved R1's right arm and R1 complained of pain. Where did you last observe this resident and what were they doing?:- I was providing direct care at the time of complaint of pain. No knowledge of any incident prior to care. No complaint of pain earlier.</p> <p>Incident Investigations read:</p>	S9999		
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S9999	<p>Continued From page 4</p> <p>Fracture to right distal humerus. X-Rays confirm fracture What are the resident's remarks regarding the incident? - "My arm is broken."</p> <p>Staff Interviews on 3/21/19 at 1:46 PM, V5 stated she was the caregiver for R1 on 3/19/19 when R1 complained of arm pain. V5 stated "I took care of (R1) all morning. (R1) did not complain of pain until I put (R1) to bed. Prior to that, no pain. (R1) was up in the recliner chair. I put (R1) to bed. It was just myself." V5 stated she transferred R1 via mechanical lift by herself. When asked the policy for mechanical lift transfers, V5 replied "It should be 2 people. I couldn't find anyone to help me. (R1) does not complain of pain with ADLs (Activities of Daily Living), no arm pain. When I put (R1) back to bed, (R1) said (R1's) arm hurts." V5 also stated "(R1) does not use (R1's) right arm. (R1) did say it's broken when I asked if it hurts. I don't know what (R1) meant by that. (R1) does not help at all with transfers." When asked how she knows what care interventions are in place, V5 replied "They should have care cards in the closet on the door." When asked about R1's care card, V5 stated "I didn't look." V5 stated interventions for R1 included "mechanical lift with 2 people. (R1) does not ever refuse care." V5 stated she started work at 6:00AM on 3/19/19 and R1 did not complain of pain prior to 9:00 AM during care.</p> <p>On 3/21/19 at 2:02 PM, V6 (Nurse) stated she was the nurse on duty 3/19/19 when V5 informed her that R1 was complaining of arm pain. V6 stated "I last saw (R1) in the dining room. I was monitoring the dining room from 8:00 -9:00 AM. (R1) had no complaints of pain. (R1's) spouse fed (R1) and didn't say (R1) had pain. (R1's) RUE (right upper extremity) is flaccid." When</p>	S9999		
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S9999	<p>Continued From page 5</p> <p>asked how the CNAs are informed of care V6 replied "it's kind of a habit. There's a care card inside the closet that lets them know how to transfer. I did not give her verbal report. She's known (R1) and we've worked with (R1) for a long time. V5 is one of the regular CNAs on that unit. The care card indicated that (R1) is a mechanical lift transfer with two people, which means two staff has to transfer (R1) with the mechanical lift." When asked what risk factors R1 has, V6 replied "(R1) is totally dependent on us. (R1) has not refused care." V6 stated when she entered the room (on 3/19/19), "(R1) was in bed with the sling to the mechanical lift under R1's back and R1's arm next to the pillow." V6 added "(R1) had no pain prior to this point, (R1) will definitely say when (R1) is in pain. (R1) rarely is. There was no report from the previous shift that (R1) had pain and I saw (R1) in the dining room. There was no pain until (V5) came and told me. I attempted a little ROM (Range of motion) and (R1) stated it hurts, so I didn't move it too much." V6 stated she notified V4 (Nurse Practitioner/Primary Care Provider) who ordered X-rays. V6 stated the results showed acute fracture.</p> <p>On 3/21/19 at 2:27 PM, V7 (Nurse on Duty) stated "I've worked with (R1) for 3 years." V7 stated "staff are made aware of resident's care through report and care cards which are in the closet that tells you what kind of transfer a resident needs. (R1) is mechanical lift transfer, and has been since I can remember. (R1) has been mechanical lift transfer since (R1) has been here. The policy is for 2 people to use the mechanical lift for transfer. It's not a new policy. (R1) has a right hemiplegia from CVA (Cerebral vascular accident), right side is flaccid and (R1) is unable to move it. (R1) had no recent complaints</p>	S9999		
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S9999	<p>Continued From page 6</p> <p>of pain in that arm. (R1) had an old fracture in the shoulder that's why (R1) is on Tylenol. That's been a while ago. (R1) was not complaining of pain prior to the weekend." When asked how the nurse communicates care to the CNAs, V7 stated "that's in their orientation and on care cards. If something changes, restorative writes it on the care card."</p> <p>On 3/21/19 at 2:37 PM, V8 (Restorative Nurse) stated she is responsible for making resident care cards. V8 stated "(R1's) right side is flaccid and (R1) had a prior fracture. (R1) can't move by herself. (R1) is transferred via mechanical lift per two persons. That has been in effect since before I was even in restorative. (R1) has been on that for years, since admission." When asked how CNAs are made aware of transfer status, V8 replied "They know by the care card. It is always in the locker. There's also a touch screen in the hallway that has the care plan which would also tell how somebody is transferred. There are two places they can look. I've been doing restorative over a year." V8 stated "We had a transfer in-service within the last 6 months.</p> <p>On 3/21/19 at 2:48 PM, V2 (Director of Nursing) stated "The policy for the mechanical lift is two person minimum. It's communicated during orientation and routine in-servicing. (V5) received in-servicing." When asked where staff can find the resident's transfer status, V2 stated "resident care card in the closet and also on the touch screens that they documents on. (V5) has taken care of (R1) for over two years. I just spoke to (V5) and she just told me she transferred (R1) by herself which she didn't tell me before." V2 stated V5 did not follow the facility's transfer policy. V2 then went to R1's room and showed the care card in R1's closet which read:</p>	S9999		
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S9999	<p>Continued From page 7</p> <p>TRANSFER: total lift (2).</p> <p>On 3/22/19 at 9:20 AM, R1 was awake in bed visiting with V9 (Family Member). R1 had a soft cast over the RUE (right upper extremity) which was in a sling. When asked about the extremity, R1 only groaned. V9 stated "I was with (R1) at breakfast. (R1) did not complain of pain prior to the CNA putting (R1) back to bed. The CNA came to the dining room and she had an attitude. She was talking aggressively and was upset because (R1) was not finished eating. Then she put (R1) to bed and then (R1) started complaining of arm pain. The emergency room doctor said it was trauma. You should've seen it, it was swollen all the way up."</p> <p>On 3/22/19 at 1:14 PM, V4 (Nurse Practitioner/Primary Care Provider) stated the facility called her when R1 complained of pain and she ordered the X-ray which showed a fracture. V4 stated "the fracture is related to trauma because (R1) didn't have pain there before that transfer. (R1) was not complaining of arm pain prior to the transfer. (R1) had a previous fracture but at a different location." V4 stated "because (R1) had a prior fracture I would expect the facility to give extra care to that side, to be extra careful. I would expect them to follow the policy, the care plan and the care card." V4 stated risk factors for R1 sustaining a fracture/injury included prior fracture, CVA, right hemiplegia, and muscle wasting. V4 stated "R1's road to recovery will take longer because of R1's age."</p> <p>The Limited Lift Guest Handling Policy reads: Policy- To ensure safe resident transfers and a safe working environment for direct care staff, mechanical lifting devices will be utilized</p>	S9999		

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S9999	<p>Continued From page 8</p> <p>according to an established facility protocol.</p> <p>Protocol- Transfer status will be noted on the Resident's Care Plan and in a designated location established by the facility. The designated location for this facility is inside closet door. V5's signature was on the sheet.</p> <p>The policy titled Total Resident Transfers Using Mechanical Lifts reads: total mechanical lifts require a minimum of 2 trained staff members to complete a resident transfer. The resident's care plan must be followed with regard to the type of transfer as well as the number of staff members required to complete the transfer.</p> <p>(B)</p>	S9999		
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