

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6009377	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED C 09/02/2022
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NAME OF PROVIDER OR SUPPLIER THE TERRACE	STREET ADDRESS, CITY, STATE, ZIP CODE 1615 SUNSET AVENUE WAUKEGAN, IL 60087
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
S 000	Initial Comments	S 000		
S9999	<p>Investigation of Facility Reported Incident of Unknown Date/IL150731</p> <p>Final Observations</p> <p>Statement of Licensure Violation: 300.650a) 300.650c) 300.650d) 300.661</p> <p>Section 300.650 Personnel Policies</p> <p>a) Each facility shall develop and maintain written personnel policies that are followed in the operation of the facility. These policies shall include, at a minimum, each of the following requirements.</p> <p>c) Prior to employing any individual in a position that requires a State license, the facility shall contact the Illinois Department of Financial and Professional Regulation to verify that the individual's license is active. A copy of the license shall be placed in the individual's personnel file.</p> <p>d) The facility shall check the status of all applicants with the Health Care Worker Registry prior to hiring.</p> <p>Section 300.661 Health Care Worker Background Check</p> <p>A facility shall comply with the Health Care Worker Background Check Act and the Health Care Worker Background Check Code.</p> <p>These Regulations are not met as evidenced by:</p>	S9999	<p style="text-align: center;">Attachment A Statement of Licensure Violations</p>	

Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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S9999	<p>Continued From page 1</p> <p>Based on Observation, Interview and Record Review the facility failed to check the status of 2 Certified Nursing Assistants (CNA) (V3, V13) prior to employment and failed to verify 2 nursing licenses (V11, V12) prior to employment. These failures applied to 4 of 10 employee pre-employment screenings reviewed. This has the potential to affect all the resident residing in the facility.</p> <p>The findings include:</p> <p>The Facility Data Sheet signed 8/31/22 showed there were 65 residents residing in the facility.</p> <p>V3's employee file showed Health Care Worker Registry Check was completed on 6/30/22. The facility was unable to provide documentation that a registry check was completed prior to 6/30/22 (over 2 years after V3's hire date). The facility's Final Investigation of Allegation of Verbal Abuse Investigations submitted on 8/22/22 showed V3 (CNA) was hired 8/29/19.</p> <p>V13's (CNA) employee file showed she was hired on 7/20/22. V13's Health Care Worker Registry Check was completed on 8/8/22. V13's timecard showed she worked 7/25/22.</p> <p>V11's (LPN - Licensed Practical Nurse) employee file showed a hire date of 6/29/22. V11's licensure look-up was dated 7/12/22. V11's Timecard showed she worked 6/29/22.</p> <p>V12's (LPN) employee file showed she was hired 7/14/22. V12's licensure look-up was dated 7/21/22. V12's Timecard showed she worked 7/14/22.</p>	S9999		

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S9999	<p>Continued From page 2</p> <p>On 8/31/22 at 10:49 AM, V2 (Director of Nursing - DON) requested clarification on the pre-employment screening for the V3 and the last 9 nursing staff (CNAs or nurses). V2 stated, "We don't have HR (Human Resources) right now, so I will have to check with corporate.</p> <p>On 9/1/22 at 1:44 PM, V7 (corporate Behavioral Program Specialist) stated the facility had an interim HR person, but they no longer worked at the facility. V7 stated Health Care Worker Background Checks (HCWBC) (for CNAs), Registry Checks (for CNAs), and License Review (for nurses) are completed to ensure employees don't have any disqualifying conditions. The license check for the nurses covers this as any discipline on their license. I'm not sure on exact timeframe because I don't do these checks. I believe they are done pre-hire, but I would need to look at the policy. V10 (corporate Regional Crew Chief) might be able to give you actual dates.</p> <p>On 9/1/22 at 2:22 PM, V10 (corporate Regional Crew Chief) stated she only completes HCWBC, Registry Checks, and license look-ups on an interim basis for the facility. I fill in when they don't have anyone on staff. It's been 30 days since the last interim HR left the facility. V10 stated there had been some turnover in the HR position over the last few months. The public Registry site can be checked with the employee's first and last name. Then you log into the IDPH Portal to check the Eligibility Status of the CNA. The public registry check can be done before hire. This check would show any disqualifying events, the CNAs work history, and if there are any abuse allegations. This should be completed before resident care is provided. These checks are done to ensure resident and staff safety. I do see that</p>	S9999		

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S9999	<p>Continued From page 3</p> <p>V3 was hired in 2019. The only Registry check I can find in her record was done 6/30/22. V3's Registry check was obviously missed. There has been changes in staff and we are not sure what happened to the documents. I will have to look and see if we have a policy that includes the timing of the pre-employment checks. We follow the IDPH guidelines. The license check for nurses is done to verify they have an active license and have no discipline actions. The license lookup is available to the public.</p> <p>The facility's Abuse Policy effective 4/2020 showed, "Policy: The facility affirms the right of our residents to be free from verbal... mental abuse, neglect... or mistreatment... This will be done by: Conducting pre-employment screening of employees... Procedures: 1. Pre-Employment Screening of Potential Employees: ...Prior to a new employee starting a work schedule, the facility will: ...Obtain a copy of the state license and check the website of the licensing agency of any individual being hired for a position requiring a professional license; ...Check the Illinois Health Care Worker Registry on any individual being hired for prior reports of abuse, neglect, or misappropriation of resident property, previous fingerprint check results, and sex offender website links on the Registry..."</p> <p>(C)</p>	S9999		