

Illinois Department of Public Health

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: ILL6007611 | (X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____ | (X3) DATE SURVEY COMPLETED 02/16/2023 |
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NAME OF PROVIDER OR SUPPLIER **JOHN C PROCTOR ENDOWMENT HOME** STREET ADDRESS, CITY, STATE, ZIP CODE **2724 WEST RESERVOIR PEORIA, IL 61615**

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| S 000 | Initial Comments Annual Licensure | S 000 | | |
| S9999 | Final Observations Statement of Licensure Violations Section 300.696 Infection Prevention and Control b) d) 6) 13) f) 4) b) Written policies and procedures for surveillance, investigation, prevention, and control of infectious agents and healthcare-associated infections in the facility shall be established and followed, including for the appropriate use of personal protective equipment as provided in the Centers for Disease Control and Prevention's Guideline for Isolation Precautions, Hospital Respiratory Protection Program Toolkit, and the Occupational Safety and Health Administration's Respiratory Protection Guidance. The policies and procedures must be consistent with and include the requirements of the Control of Communicable Diseases Code, and the Control of Sexually Transmissible Infections Code. | S9999 | | |
| | d) Each facility shall adhere to the following guidelines and toolkits of the Centers for Disease Control and Prevention, United States Public Health Service, Department of Health and Human Services, Agency for Healthcare Research and Quality, and Occupational Safety and Health Administration (see Section 300.340): 6) Guideline for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings 13) Interim Infection Prevention and Control | | Attachment A Statement of Licensure Violations | |

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

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| S9999 | <p>Continued From page 1</p> <p>Recommendations to Prevent SARS-CoV-2 Spread in Nursing Homes</p> <p>f) Infectious Disease Surveillance Testing and Outbreak Response</p> <p>4) Upon confirmation that a resident, staff member, volunteer, student, or student intern tests positive with an infectious disease, or displays symptoms consistent with an infectious disease, each facility shall take immediate steps to prevent the transmission by implementing practices that include but are not limited to cohorting, isolation and quarantine, environmental cleaning and disinfecting, hand hygiene, and use of appropriate personal protective equipment.</p> <p>These REQUIREMENTS were not met as evidenced by:</p> <p>Based on observation, interview and record review, the facility failed to prevent resident exposure to and potential transmission of COVID-19 infection by cohorting COVID-19 positive residents with COVID-19 negative residents in the same room. This failure has the potential to affect all 56 residents in the facility. In addition, this failure resulted in a COVID-19 negative resident being placed at additional risk of contracting the disease during exposure to their COVID-19 positive roommates aerosol generating nebulizer treatments.</p> <p>Findings include:</p> <p>The Centers for Disease Control and Prevention (CDC) guidance: Interim Infection Prevention and Control Recommendations for Healthcare Personnel During the Coronavirus Disease 2019 (COVID-19) Pandemic dated as updated 9/23/22 states, "The IPC (Infection Prevention and</p> | S9999 | | |

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| S9999 | Continued From page 2 Control) recommendations described below (e.g., patient placement, recommended PPE) also apply to patients with symptoms of COVID-19 (even before results of diagnostic testing) and asymptomatic patients who have met the criteria for empiric Transmission-Based Precautions based on close contact with someone with SARS-CoV-2 infection (commonly known as COVID-19). However, these patients should NOT be cohorted with patients with confirmed SARS-CoV-2 infection unless they are confirmed to have SARS-CoV-2 infection through testing." In addition, this guidance states, "Place a patient with suspected or confirmed SARS-CoV-2 infection in a single person room. The door should be kept closed (if safe to do so). Ideally, the patient should have a dedicated bathroom. If cohorting, only patients with the same respiratory pathogen should be housed in the same room." This guidance states, "Procedures that could generate infectious aerosols should be performed cautiously and avoided if appropriate alternatives exist." Further, this guidance includes information on aerosol generating procedures stating, "Some procedures performed on patients are more likely to generate higher concentrations of infectious | S9999 | | |
| | respiratory aerosols than coughing, sneezing, talking, or breathing. These aerosol generating procedures (AGPs) potentially put healthcare personnel and others at an increased risk for pathogen exposure and infection." In addition, this guidance states, "Based on limited available data, it is uncertain whether aerosols generated from some procedures may be infectious, such as: nebulizer administration*(,) high flow O2 delivery(.) *Aerosols generated by nebulizers are derived from medication in the nebulizer. It is uncertain whether potential associations between performing this common procedure and increased risk of infection might be due to | | | |

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| S9999 | <p>Continued From page 3</p> <p>aerosols generated by the procedure or due to increased contact between those administering the nebulized medication and infected patients."</p> <p>A facility policy titled "Quarantine/ Isolation Precautions", dated as reviewed 12/2022 states, "The primary goal for successful healthcare associated infection control is the implementation of good infection control practices inclusive of Standard Precautions (Body, Substance Isolation, Universal Precaution) and proper initiation of Quarantine and Isolation Precautions. Quarantine is defined as separation and restriction of the movement of residents who have been exposed to contagious disease to observe for illness. Isolation is defined as the separation of residents who are ill from an infectious disease from people who are not ill." In addition, the facility's policy instructs that resident in Droplet Precautions Isolation, such as COVID-19 infection, should "Place the resident in a private room. Doors should remain closed." and "When a private room is not available, place the resident in a room with a resident(s) who has active infection with the same microorganism, but with no other infection (cohorting). When a private room is not available,</p> | S9999 | | |
| | <p>maintain partial separation of at least 6 feet between the infected resident and other residents and visitors." Further, this policy instructs for Contact Isolation, "Place the resident in a private room. Doors should remain closed," and "When a private room is not available, place the resident in a room with a resident(s) who has an active infection with the same microorganism, but with no other infection (cohorting)."</p> <p>A Clinical Conditions Warranting Empiric Precautions policy dated as reviewed 6/2021 states, " Residents shall be evaluated on admission to ensure that appropriate precautions</p> | | | |

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| S9999 | <p>Continued From page 4</p> <p>are implemented to prevent transmission of epidemiologically important pathogens pending confirmation of diagnoses." This policy documents that infection with the Coronavirus (COVID-19) infection requires Droplet/Contact isolation.</p> <p>A facility roster documents the facility has 18 residents who have currently tested positive for COVID-19 infection. This same roster documents five of these residents (R106, R107, R108, R112, R115) live in rooms which are shared with COVID negative residents (R109, R110, R111, R113, R114).</p> <p>A Facility Line Listing of Resident Infections dated 2/2023 documents the dates R106, R107, R108, R112, R115 tested positive for COVID-19. This line listing documents R112, R115, R106, R107 tested positive for COVID-19 on 2/9/23; and R108 tested positive 2/12/23. This line listing also documents that on 2/9/23 R109, R110, R111, R113, R114 all tested negative for COVID-19.</p> <p>On 2/14/23 at 1:30p.m., there was a sign on R112 and R113's closed door indicating this was an</p> | S9999 | | |
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| | <p>isolation room requiring contact/droplet precautions. V11 (Certified Nurse Aide/CNA) stated that R112 has COVID-19 but her roommate R113 does not. V11 stated the door is kept closed and gowns, gloves, a mask, and eye protection must be worn when entering R112 and R113's room. Upon entering R112 and R113's room, each resident was visible from the doorway, but with privacy curtains partially closed. R113 was not wearing a mask, was seated in a recliner, confused, and unable to answer questions. R112 was seated in a chair next to her bed and was also not wearing a mask. R112 was noted to be coughing during this observation.</p> | | | |
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| S9999 | <p>Continued From page 5</p> <p>On 2/14/23 at 1:45p.m., R114 and R115's door was closed and hanging on the outside of the door was a sign indicating this room was in contact/droplet isolation, requiring gowns, gloves and eyes, nose, and mouth protection must be worn to enter the room. Upon entering, R114 was walking around her side of the room behind a partially closed privacy curtain. R114 stated she was tested as negative for COVID-19; however, she has had to remain isolated in her room for almost a week. R115 was also walking around on her side of the room behind a partially closed privacy curtain. A nebulizer machine was noted on R115's table near her bed. R115 stated she tested positive for COVID-19 last week. R115 stated she has had symptoms which include a cough. R115 stated that she and R114 have remained in their room with the door closed since R115 tested positive. R115 stated that she and R114 share a bathroom.</p> <p>On 2/15/23 at 9:30a.m., V4 (Licensed Practical Nurse/LPN) stated that she is R112, R113, R114, R115's nurse. V4 verified R113 is negative for COVID-19 but is cohorting with R112 who is</p> | S9999 | | |
| | <p>COVID-19 positive. V4 verified that R114 is COVID-19 negative but is cohorting with R115, who is COVID-19 positive. V4 stated that R115 is currently being administered nebulizer treatments in her room.</p> <p>R115's physician's orders (POS) dated 12/27/22 document R115 was prescribed Albuterol nebulizer treatment 0.83% (percent) 1 (one) vial scheduled four times daily. R115's Medication Administration Record (MAR) dated 2/2023 documents R115 has been administered Albuterol nebulizer treatments as ordered since testing positive for COVID-19 on 2/9/23.</p> | | | |

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| S9999 | <p>Continued From page 6</p> <p>On 2/15/23 at 11:50a.m., there was a sign on R106 and R109's closed door indicating this was an isolation room requiring contact/droplet precautions. This sign instructed that isolation gowns, gloves, and eyes, nose, and mouth coverings be applied before entering the room. Upon entering this room, R106 and R109 were each visible on their sides of the room behind partially closed privacy curtains. R106 was lying on her bed asleep, while R109 was seated in a recliner talking on the phone.</p> <p>On 2/15/23 at 11:55a.m., there was a sign on R107 and R110's closed door indicating this was an isolation room requiring contact/droplet precautions. This sign instructed that isolation gowns, gloves, and eyes, nose, and mouth coverings be applied before entering the room. V7 (CNA) was seated outside R107 and R110's room. V7 verified that R110 was negative for COVID-19 infection and was cohorting with R107, who is COVID-19 positive. V7 stated that neither R107 nor R110 leave their room except for this morning when R107 was taken to the shower room for her scheduled shower. Upon entering</p> | S9999 | | |
| | <p>the room, R107 and R110 were each visible on their sides of the room behind partially closed privacy curtains. R110 was seated in a recliner reading. R110 stated she was tested as negative for COVID-19 but has been isolated in her room with R107 since last week. R107 verified that she tested positive for COVID-19 approximately one week ago. R107 stated she has only left the room for showers. R107 stated she was taken to the shower room this morning without wearing a mask while out in the resident hallway. R107 stated her only symptom has been a cough.</p> <p>On 2/15/23 at 12:00p.m., there was a sign on</p> | | | |

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| S9999 | <p>Continued From page 7</p> <p>R108 and R111's open door indicating this was an isolation room requiring contact/droplet precautions. This sign instructed that isolation gowns, gloves, and eyes, nose, and mouth coverings be applied before entering the room. From the hallway, R108 and R111 were visible seated in their rooms behind partially closed privacy curtains. V7 stated that R108 and R111's door is remaining open because both residents are confused and require additional supervision. V7 verified that R111 was COVID-19 negative and cohorting with R108, who is COVID-19 positive.</p> <p>On 2/15/23 at 10:00a.m., V1 (Administrator) and V2 (Director of Nurses/DON) were seated in V2's office. V2 verified that the facility has residents who are COVID-19 negative cohorting with COVID-19 positive residents. V2 stated that R109, R110, R111, R113, R114 all had high risk exposures to COVID-19 but have tested as negative so far. V2 stated the facility follows CDC guidance for COVID-19. V2 stated that she thought that because R109, R110, R111, R113, R114 were high risk exposures to COVID-19, they could continue to cohort with their respective</p> | S9999 | | |
| | <p>roommates, R106, R107, R108, R112, R115, who tested positive for COVID-19 infections. V2 stated she did not realize the CDC guidance instructs not to cohort high risk exposure residents with COVID-19 positive residents unless those high-risk exposure residents test positive. V2 verified that since R115 tested positive on 2/9/23, R115 has been receiving scheduled nebulizer treatments while at the same time R114 has been in the same room and with the door shut.</p> <p>A Facility Roster dated 2/14/23 provided by V2 documents that at the time of the survey, the facility census was 56.</p> | | | |

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