STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	IL6001309	A. BUILDING: _____ B. WING _____	C 07/16/2024

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
BURT SHELTERED CARE HOME	1414 MILTON ROAD ALTON, IL 62002

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S 000	Initial Comments Complaint Investigation: 2444661/IL174352 2445202/IL175111	S 000		
S9999	Final Observations Statement of Licensure Violations: 330.4260a) 330.4260b) 330.4260c) 330.4260d) 330.4260e) 330.4260g) 330.4260m) 330.4260o) Section 330.4260 Resident's Funds a) A resident shall be permitted to manage his own financial affairs unless he or his guardian or if the resident is a minor, his parent, authorizes the administrator of the facility in writing to manage such resident's financial affairs under subsections (b) through (o) of this Section. (Section 2-102 of the Act) b) The facility shall at the time of admission, provide, in order of priority, each resident, or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member, if any, with a written statement explaining to the resident and the resident's spouse their spousal impoverishment rights as defined at Section 5-4 of the Illinois Public Aid Code, and at Section 303 of Title III of the Medicare Catastrophic Coverage Act of 1988 (P.L. 100-360), and the resident's rights regarding personal funds and listing the services for which	S9999		

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the resident will be charged. The facility shall obtain a signed acknowledgement from each resident or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member, if any, that such person has received the statement. (Section 2-201(1) of the Act)

c) The facility may accept funds from a resident for safekeeping and managing, if it receives written authorization from, in order of priority, the resident or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member, if any; such authorization shall be attested to by a witness who has no pecuniary interest in the facility or its operations, and who is not connected in any way to facility personnel or the administrator in any manner whatsoever. (Section 2-201(2) of the Act)

d) The facility shall maintain and allow, in order of priority, each resident or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member, if any, access to a written record of all financial arrangements and transactions involving the individual resident's funds. (Section 2-201(3) of the Act)

e) The facility shall provide, in order of priority, each resident, or the resident's guardian, if any, or the resident's representative, if any, or the resident's immediate family member, if any, with a written itemized statement at least quarterly, of all financial transactions involving the resident's funds. (Section 2-201(4) of the Act)

g) The facility shall keep any funds received from a resident for safekeeping in an account separate

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from the facility's funds, and shall at no time withdraw any part or all of such funds for any purpose other than to return the funds to the resident upon the request of the resident or any other person entitled to make such request, to pay the resident his allowance, or to make any other payment authorized by the resident or any other person entitled to make such authorization. (Section 2-201(6) of the Act)

m) If an adult resident is incapable of managing his funds and does not have a resident's representative, guardian, or an immediate family member the facility shall notify the Office of the State Guardian of the Guardianship and Advocacy Commission. (Section 2-201(11) of the Act)

o) The facility shall take all steps necessary to ensure that a personal needs allowance that is placed in a resident's personal account is used exclusively by the resident or for the benefit of the resident. Where such funds are withdrawn from the resident's personal account by any person other than the resident, the facility shall require such person to whom funds constituting any part of a resident's personal needs allowance are released to execute an affidavit that such funds shall be used exclusively for the benefit of the resident. (Section 2-201(9)(b) of the Act) "Personal needs allowance," for the purposes of this subsection, refers to the monthly allowance allotted by the Illinois Department of Public Aid to public aid recipients.

This REQUIREMENT is not met as evidenced by:

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Based on interview and record review the facility failed to protect a resident (R2) from misappropriation of funds and exploitation.

Findings Include:

R2's Face Sheet, undated, documents R2 has a diagnosis of Post Traumatic Stress Disorder (PTSD).

On 06/20/24 at 11:50 AM, V1 (Administrator) was asked if any of the residents had a Power of Attorney (POA) for financial and she said all the residents are responsible for themselves no one has a power of attorney for financial.

On 06/20/24 at 12:15 PM, V4 (Cook) came to the surveyors and said one of the residents came to her (V4) and told V4 she was concerned about her (R2) money. She said the resident wanted to talk with us, but she was too scared to.

On 06/20/24 at 12:30 PM, R2 came in and talked with the surveyors along with V4 (Cook) and requested V4 stay with her during the interview. According to V4, R2 has a hard time understanding what you are asking her at times and R2 has a hard time getting out what she wants to say. V4 said sometimes you must ask her (R2) direct questions. This surveyor asked R2 if anyone has taken any money from her and R2 stated not that she knows of, but she doesn't even know how much money she has in her account. She said no one has asked her to sign any checks lately, if she does receive bank statements, she isn't seeing them, and she said she hasn't made any purchases lately. R2 said she isn't sure where her money is going right now

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or how much money she has in her account.

On 06/20/24 at 12:30 PM, V4 (Cook) went and got the resident who was R2 and R2 wanted V4 to stay with her while she talked with the surveyors. V4 said she has heard R2 ask for a soda and the staff will tell R2 not right now. V4 stated she knows for a fact R2 had a debit card mailed and they didn't let her have the debit card. V4 said she has seen V2 (Office Staff) sign R2's name on a document and she asked V2 if she was R2's financial POA and V2 said no but she and V1 could sign R2's name. V4 said she just doesn't think R2 is being done correctly and things just aren't adding up. V4 stated V1 is rarely here at the facility and that V2 is the one who runs the daily things around here.

On 06/24/24 at 9:15 AM, V1 (Administrator) stated regarding R2 she said R2 sometimes has trouble forming words and communicating with people at times. She said R2 came to the facility from another Shelter care located up north that got shut down and R2 took care of all her financial stuff. V1 said R2 had her own checkbook, wrote her own checks, and had a debit card that she kept in her (R2) possession. V1 stated after R2 had been at her facility for a while R2 wanted to go back up north and check on a few things that she thought included R2's insurance and other things. V1 said R2 packed up all her stuff and moved back up north. V1 said R2 was gone for a while and then she came back to the facility stating her boyfriend was wanting her to stay up there and take care of him and she just couldn't do it. V1 said when R2 returned to the facility you could tell she had been physically assaulted by someone, so they took R2 to the hospital and she had some fractured ribs. V1 said

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after this R2 came back to the facility briefly and then had to go back out to the hospital where she ended up having a couple of different surgeries on her head due to some bleeding on the brain or something and she didn't return to the facility until the end of June last year (2023). V1 said she went over and visited with R2 a few different times while R2 was in the hospital/nursing home in Missouri. V1 said R2 meet a gentleman who stayed by R2's side pretty much the whole time she was there visiting R2 and was always hovering around R2. V1 said R2 had her checkbook and all her belongings with her while she was over in Missouri. V1 said she feels like this man was taking advantage of R2. V1 said this man R2 meet at the facility in Missouri has even come to the current facility to see her. She said he would come and him and R2 would leave the facility and go and stay at a motel for the weekend. V1 said when it comes to the bank statements for R2 they haven't received any for her because they were going over to the place where she was at in Missouri, and they were then being returned to the bank from that facility. She said they did finally get one from back in April (2024) and hopefully now they will be able to get it all straightened out so the bank statements will come to the correct place. V1 stated she does have R2's checkbook but it is kept put up and she is the only one who has access to it. None of the other staff can get to it. She said the only thing she has R2 do is to sign her monthly rent check and that is it. She said she will fill it out for R2 and then she will give it to V2 and have V2 get R2 to sign it. V1 said there are times that R2 can't sign it so she will tell V2 to just wait, and they will get R2 to sign it on a different day when she is feeling like she can sign it. V1 stated the only thing she can tell that is coming out of R2's account according to the last statement is a cell phone bill

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but R2 doesn't even have a cell phone, so she is going to try and get that stopped. V1 stated she has never known what R2 has had in her account until this bank statement they received for April. She said she doesn't or hasn't even had any clue as to how much money R2 had or what the money was from. V1 provided this surveyor with two of R2's bank statements. One was dated 02/21/23 and documented R2 had $64,947.52 in her checking account. The second bank statement was dated 04/21/24 and documented R2 had $38,345.51 in her checking account.

On 06/24/24 at 10:31 AM, V2 (Office staff) stated she has never signed a resident's check without the resident being there and her helping the resident to sign it. She said there was one-time V1 gave her R2's rent check to be signed and R2 was having trouble so she said she asked R2 if she could help her sign the check and R2 gave her permission so she used her hand over R2's hand and helped R2 sign the check because R2 said she couldn't do it by herself. She said that has been a couple of months ago.

On 06/24/24 at11:10 AM, V1 (Administrator) said she is the only person who has access to the resident's checkbooks, and she keeps them locked up, none of the staff have access to them and they have never just signed a check of someone.

On 07/01/24 at 11:00 AM, This surveyor took a letter that was typed out by this surveyor requesting bank statements from R2's bank and went over it with R2. R2 signed the letter giving the Illinois Department of Public Health (IDPH) authorization to get her bank statements from 03/2023 through 06/2024. R2 stated she still isn't aware of how much money she has in her bank

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account, and she still doesn't have any type of debit card. She said she believes she had a debit card when she was over in Missouri, but she isn't sure and doesn't know what happened to it if she did have one.

On 07/08/24 at 11:39 AM, V1 (Administrator) said it depends on if the person is public aid or if the person is private pay on how much their rent for the month is. She said public aid pretty much decides what they are going to pay. She said they have some people who stay there who are public aid and some who are private pay. She said R2 pays $1800. 00 a month for her stay at the facility. She said they don't charge anyone to hold their bed if they are in the hospital for a few days. This surveyor asked V1 for an itemized bill for the past year for R2 and how much R2 paid each month to stay at the facility. V1 stated, "Oh boy that's going to be hard with not having her bank statements." V1 said she would work on getting this surveyor something.

On 07/03/24 at 4:00 PM, R2's bank statements were reviewed and documented the following:
1. On 02/21/23 the ending balance on R2's checking account was $64,947.52. On 02/03/23 check number (#) 1202 was made out to the facility in the amount of $1,675.00.

2. On 03/21/23 the ending balance on R2's checking account was $62, 918.05. On 03/07/23 check #1163 was made out to the facility in the amount of $1,600.00.

3. On 04/23/23 the ending balance on R2's checking account was $64,295.39. There were no checks wrote out on this statement.

4. On 05/22/23 the ending balance on R2's

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checking account was $65,826.24. There were no checks wrote out on this statement.

5. On 06/22/23 the ending balance on R2's checking account was $54,286.23. On 05/25/23 check #1165 was made out to the facility in the amount of $3,200. On 05/30/23 check #1047 was made out to another facility in the amount of $800.00 and check #1048 was made out to the same facility in the amount of $6,000.00.

6. On 07/23/23 the ending balance on R2's checking account was $49,965.77. On 06/28/23 check #1049 was made out to the facility in the amount of $1,600.00.

7. On 08/22/23 the ending balance on R2's checking account was $41,338.99. On 07/26/23 check #1051 was made out to the facility in the amount of $1,600.00 and on 08/14/23 check #1054 was made out to the facility in the amount of $1,600.00.

8. On 09/20/23 the ending balance on R2's checking account was $42,695.77 and there was no check made out to the facility on this statement.

9. On 10/22/23 the ending balance on R2's checking account was $40,472.76. On 09/01/23 check #1171 in the amount of $20.00 was made out to V1. On 09/20/23 check #1167 in the amount of $1,800.00 was made out to V1. On 10/16/23 check #1055 in the amount of $1,800.00 was made out to the facility.

10. On 11/21/23 the ending balance on R2's checking account was $40,159.94. On 10/16/23 check #1056 in the amount of $100.00 was made out to V1 (transportation). On 11/00/00 (no other

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date was on the check) check #1058 in the amount of $1,800.00 was made to the facility.

11. On 12/20/23 the ending balance on R2's checking account was $39, 678.05. On 12/01/243 check #1172 in the amount of $1,800.00 was made out to the facility.

12. On 01/21/24 the ending balance on R2's checking account was $39,495.20. On 01/03/24 check #1173 in the amount of $1,800.00 was made out to the facility.

13. On 02/20/24 the ending balance on R2's checking account was $38,11.97. On 02/02/24 check #1174 in the amount of $2,300.00 was made out to the facility.

14. On 03/21/24 the ending balance on R2's checking account was $38,528.69. On 03/04/24 check #1175 in the amount of $1,900.00 was made out to the facility.

15. On 04/21/24 the ending balance on R2's checking account was $38,345.51. On 04/03/24 check #1176 in the amount of $1,800.00 was made out to the facility.

16. On 05/21/24 the ending balance on R2's checking account was $38,162.21. On 05/03/24 check #1177 in the amount of $1,800.00 was made out to the facility.

17. On 06/23/24 the ending balance on R2's checking account was $36,179.40. On 06/17/24 check #1060 in the amount of $3,600.00 was made out to the facility.

R2's checking account statements from 03/2023 through 09/2023 documented there were

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S9999	Continued From page 10 numerous debits made in Illinois and Missouri from different places for various amounts. On 07/16/24 at 07:00 AM, V1 (Administrator) still did not provide the documentation this surveyor had requested on 07/08/24 at 11:39 AM. The Facility's policy Codes/Rules of Conduct, undated, documents "Violation of the following will result in disciplinary action up to and including termination." It also documents "6. Abusing, wasting, or stealing facility property or the property of any resident, employee, visitor or non-visitor. "B"	S9999		